

SESSION DESCRIPTIONS

Continuing Education Hours Approved:

CLE 4 Hours (1 Ethic) for KS and (3 Ethics) MO, Insurance CE 4 Hours (4 Ethics) for KS, CFP CE 4 Hours, (CPE 4 Hours for KS pending)

SESSION I

9:30 am – 10:20 am

Alice L. Walker, Kansas Disciplinary Office

Professionalism, Personal Beliefs and Rules of Professional Conduct

Alice will walk thru the Pillars of Professionalism coupled with the Rules of Professional Conduct. When your duty first and foremost is to your client, what happens when zealous advocacy clashes with professionalism and moral views? The presentation will also highlight rules to consider when your personal beliefs contradict your representation of a client.



SESSION II

10:30 am – 11:20 am

Rochelle Falk, JD, Polsinelli & Michelle Burge, JD, Counts Law Firm, LLC

Probate and Estate Planning Changes since COVID

In this presentation, we will discuss the Statutory changes regarding remote notary (Kansas Legislature SB 106 implementing the Revised Uniform Law on Notarial Acts (RULONA). Also, how COVID has changed some things for the better (online hearings, using technology to meet with clients and service clients better) and how COVID has changed some things for the worse (executing certain documents requiring witnesses and originals, more difficult for the less tech-savvy clients), changes to estate planning documents and other considerations due to COVID and Probate court since COVID; decedent's estates, conservatorships, guardianships, and fiduciary litigation.



SESSION III

11:30 am – 12:20 pm

Martin Seay, PhD., CFP, Kansas State University

Rethinking Annuities: Focusing on Client Well-Being

Annuities have come under increased scrutiny in recent years, often as a reaction to misuse and the relatively high-cost structure. However, there is increasing evidence that clients are seeking stability and certainty in their retirement. Martin will summarize research that shows the upside of annuities that some advisors may not be considering, while also providing a framework for their best use within the context of a financial plan.



SESSION IV

12:40 pm – 1:30 pm

Robert Hofmann, MA, CFRE, University of Minnesota Duluth

Strategies to Avoid Litigation Due to Dementia

This presentation will provide an overview on understanding dementia, ethics, the dilemma and adopting a dementia friendly standard. Rob will speak to the importance of developing a philanthropic directive to articulate the donor's intentional philanthropy now and into the future.

